


<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</i>	Attorney Docket No. <b>SP02-227</b>	Total Pages <b>2</b>
First Named Inventor or Application Identifier: <b>Caze, et al</b>		
Title: <b>COATED MICROSTRUCTURE AN METHOD OF MANUFACTURE</b>		
Express Mail Label No.		<b>EV327190575US</b>

<b>CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:</b> I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to Mail Stop Patent Application, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on <u>28 Oct 2003</u> (Date) Signature <u>[Signature]</u> "EXPRESS MAIL" Mailing Label No. EV327190575US	<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450 <div style="text-align: right;">           22581 U.S. PTO            10/696052   </div>
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1. <input checked="" type="checkbox"/> * Fee Transmittal Form <i>(Submit an original and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages <b>28</b>]</span> <i>(preferred arrangement set forth below)</i> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. § 113) <span style="float: right;">[Total Sheets <b>7</b>]</span> 4. Oath or Declaration <span style="float: right;">[Total Pages <b>1</b>]</span> a. <input type="checkbox"/> Executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <i>(for continuation/divisional with Box 16 completed)</i> i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <i>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</i>	5. <input type="checkbox"/> Microfiche Computer Program ( <i>Appendix</i> ) 6. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies <div style="border: 1px solid black; padding: 5px; margin-top: 10px;"> <b>ACCOMPANYING APPLICATION PARTS</b>          7. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))          8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney  <i>(when there is an assignee)</i>          9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>          10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations          11. <input type="checkbox"/> Preliminary Amendment          12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)  <i>(should be specifically itemized)</i>          14. <input type="checkbox"/> Certified Copy of <input type="checkbox"/> Other:          Priority Document(s)  <i>(if foreign priority is claimed)</i> </div>
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16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No

Prior application information: Examiner: Group / Art Unit:

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number or Bar Code Label <b>22928</b> or <input type="checkbox"/> Correspondence address below					
NAME					
ADDRESS	Corning Incorporated, SP-TI-3-1				
CITY	Corning	STATE	NY	ZIP CODE	14831
COUNTRY	USA	TELEPHONE	607-974-2698	FAX	(607) 974-3848
Name (Print/Type)	Gregory V. Bean			Registration No. (Attorney/Agent)	36,448
Signature	<u>[Signature]</u>			Date	<u>28 Oct 2003</u>

15866  
102803  
U.S. PTO

# FEE TRANSMITTAL for FY 2003

**Complete if Known**

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Caze, et al.
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned
Attorney Docket Number	SP02-227

**TOTAL AMOUNT OF PAYMENT (\$860.00)**

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **03-3325**

Deposit Account Name **Corning Incorporated**

- ☒ Charge Any Additional Fees Required  
Under 37 C.F.R. §§ 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	Utility filing fee	<u>770.00</u>
1002	340	Design filing fee	_____
1003	530	Plant filing fee	_____
1004	770	Reissue filing fee	_____
1005	160	Provisional filing fee	_____
<b>SUBTOTAL (1)</b>			<b>(\$770.00)</b>

### 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	25	- 20** = x 18 =	90.00
Independent Claims	2	- 3** = x 86 =	00.00
Multiple Dependent	0	=	0.00

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Fee (\$)	Fee Description
1202	18	Claims in excess of 20
1201	86	Independent claims in excess of 3
1203	290	Multiple dependent claim, if not paid
1204	86	** Reissue independent claims over original patent
1205	18	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$90.00)**

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES


Large Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	Surcharge - late filing fee or oath	_____
1052	50	Surcharge - late provisional filing fee or cover sheet	_____
1053	130	Non-English specification	_____
1812	2,520	For filing a request for reexamination	_____
1804	920*	Requesting publication of SIR prior to Examiner action	_____
1805	1,840	Requesting publication of SIR after Examiner action	_____
1251	110	Extension for reply within first month	_____
1252	420	Extension for reply within second month	_____
1253	950	Extension for reply within third month	_____
1254	1,480	Extension for reply within fourth month	_____
1255	2,010	Extension for reply within fifth month	_____
1401	330	Notice of Appeal	_____
1402	330	Filing a brief in support of an appeal	_____
1403	290	Request for oral hearing	_____
1451	1,510	Petition to institute a public use proceeding	_____
1452	110	Petition to revive - unavoidable	_____
1453	1,330	Petition to revive - unintentional	_____
1501	1,330	Utility issue fee (or reissue)	_____
1502	480	Design issue fee	_____
1503	640	Plant issue fee	_____
1460	130	Petitions to the Commissioner	_____
1807	50	Petitions related to provisional applications	_____
1806	180	Submission of Information Disclosure Stmt	_____
8021	40	Recording each patent assignment per property (times number of properties)	_____
1809	770	Filing a submission after final rejection (37 C.F.R. § 1.129(a))	_____
1810	770	For each additional invention to be examined (37 C.F.R § 1.129(b))	_____
1801	770	Request for Continued Examination (RCE)	_____
1802	900	Request for expedited examination of a design application	_____

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)**

## SUBMITTED BY

## Completed (if applicable)

Name (Print/Type)	Gregory V. Bean	Registration No. (Attorney/Agent)	35,448
Signature		Date	28 Oct 2003